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## New Visualization Method to Evaluate Erosion Quantity and Pattern

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### Reference

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### ABSTRACT

The objective of this research is to develop tools that would improve the understanding of the process of levee failure because of erosion and reduce the risk of failure. Hydraulic erosion is a complicated phenomenon and depends on many different parameters. To improve design criteria for levees, embankments, and earthen structures, the development of realistic computer models that can simulate the erosion process is necessary. Verification of these computer simulations, as with any simulation, is a necessity. In this research, a large number of physical levee erosion tests were performed at 1g and at high g's using a geotechnical centrifuge. Centrifuge tests were performed to simulate real (prototype) size levees, and thus to obtain a more realistic model. The erosion was modeled physically in detail. Conventional three-dimensional scanning was used to precisely verify the calculated dimensions of initial and final computer model geometries, but did not yield interim data or measurements of the quantity of eroded soil during the tests. A Kinect device was used to scan and evaluate the volume of eroded soil and variation of the shape of the channels as a function of time. Three-dimensional images were obtained, and variations of different parameters were plotted. Various quantities were measured as a function of time. Based on recorded videos and pictures taken during the tests, it was discovered that the Kinect results agreed well with the physical models. The Kinect is a low-cost sensor, and enables the measurement of the rate of soil erosion, which, if done at all, usually requires expensive equipment. The Kinect device was also used in the centrifuge experiments, and functioned well in the high g environment. It is believed to be the first use of a Kinect device in a centrifuge. The application of this method in other laboratory experiments was also investigated.

### Keywords

visualization, erosion, soil transportation, overtopping, embankment, geotechnical centrifuge

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## 25 Introduction

26 Most geotechnical physical modeling facilities feature a system  
 27 of image capture and analysis to provide measurements of  
 28 deformation fields. This paper aims to describe a new technique  
 29 developed for identifying and characterizing the soil hydraulic  
 30 erosion process of levees, earthen dams, embankments, and  
 31 similar structures caused by overtopping incidents. Evaluations  
 32 have been done for levee overtopping erosion tests performed  
 33 at 1g and higher g level (centrifuge) conditions but are also  
 34 applicable in other experiments with different environments.  
 35 The new technique includes various advances beyond the state-  
 36 of-the-art described previously. With the use of a new and  
 37 improved apparatus and software systems, improved measure-  
 38 ment performance was achieved. This method provides the  
 39 capability to measure quantities that were not easy and some-  
 40 times impossible to measure previously. This method results  
 41 in a step forward in measurement utility: small particle-scale  
 42 transportation features can be detected, allowing soil deforma-  
 43 tion and erosion patterns to be quantified as a function of time.  
 44 The proposed methodologies can also be used for other  
 45 experiments.

46 During recent decades, there have been continuing efforts  
 47 to study dam-break hydraulics, including numerical and experi-  
 48 mental investigations of dam-break flows and the potential  
 49 damage caused by the flows. This is understandable because  
 50 real-time field measurements are extremely difficult to obtain.  
 51 In fact, numerical simulations play an ever-important role for  
 52 dam-break flow problems. The erosion process is dependent on  
 53 several parameters such as soil fines and clay size content, plas-  
 54 ticity, and dispersivity, compaction water content, density and  
 55 degree of saturation, clay mineralogy, and possibly the presence  
 56 of cementing materials such as iron oxides. Considering all of  
 57 these parameters, it is very difficult to understand and numeri-  
 58 cally model the erosion phenomena. Validation of these numer-  
 59 ical simulations is also a very challenging task. To provide  
 60 associated guidance for more rational designs, there is a need  
 61 for methods that can visualize and evaluate different phases of  
 62 erosion in laboratory experiments.

63 Some studies have focused on soil classification. Using an  
 64 erosion function apparatus (EFA), [Briaud et al. \(2008\)](#) investi-  
 65 gated the erodibility of several different types of soil. The soils  
 66 are classified into different categories of erodibility based on  
 67 degree of compaction, erosion rate, water velocity, and hydrau-  
 68 lic shear stress. [Dean et al. \(2010\)](#) applied experimental steady-  
 69 state results for different ranges of overtopping. Laboratory  
 70 results consisting of velocities and durations for acceptable  
 71 landside levee erosion because of steady flows were examined to  
 72 determine the physical basis for the erosion. Three bases are  
 73 examined: (1) velocity above a threshold value, (2) shear stress  
 74 above a threshold value, and (3) work done on the landside of  
 75 the levee above a threshold value. The work basis provides the

best agreement with the data and a threshold work value, and a  
 work index representing the summation of the product of work  
 above the threshold and time were developed.

Recent research has used effective methods to investigate  
 levee erodibility. [Kamalzare et al. \(2011\)](#) performed a number of  
 laboratory tests on levees with different geometries, and investi-  
 gated the effects of different parameters on levee erodibility.  
 The rilling process occurring on the landside slope was studied,  
 and erosion effects occurring on the waterside slope were not  
 considered. The duration of various erosion phases were meas-  
 ured, but no data was collected regarding the quantity of eroded  
 soil. [Stanier and White \(2013\)](#) describe a new apparatus and  
 techniques for performing deformation measurements using  
 particle-image velocimetry in the centrifuge environment. The  
 new system includes camera, lighting, and control equipment  
 that facilitates image capture at least 30 times faster than that in  
 legacy systems. Methods for optimizing the addition of artificial  
 seeding on the exposed plane of a geotechnical model were  
 used. These techniques ensured that the precision of the deforma-  
 tion calculations were optimized even in models with multi-  
 ple soil layers. An example application of a flat footing  
 penetrating sand overlying clay was used to illustrate the per-  
 formance of the equipment and the artificial seeding optimiza-  
 tion technique. Analyses highlight not only the benefits of the  
 new technology, but also the need for carefully optimized experi-  
 mental procedures to maximize the measurement precision.  
[Dong and Selvadurai \(2006\)](#) presented a color-visualization-  
 based image-processing technique for the quantitative determina-  
 tion of a chemical dye concentration in a fluid-saturated  
 porous column composed of glass beads. In this image-  
 processing technique, an image filter is designed by taking into  
 account the porous structure of the medium and color charac-  
 teristics of both the fluid and the solid particles to extract the  
 color representation of the dye solution in pore space, which  
 enables the image quantification. A comparison of experimental  
 results with analytical and numerical simulations illustrates the  
 efficiency and accuracy of the image-processing method for  
 determining the chemical concentrations in the porous  
 medium.

[Iskander et al. \(1994\)](#) demonstrated the feasibility of pro-  
 ducing transparent materials, which exhibit macroscopic prop-  
 erties representative of the geotechnical properties of natural  
 soils. The transparent “soils” discussed are made by consolidat-  
 ing suspensions of amorphous silica in liquids with matching  
 optical refractive indices. The measured shear strengths and  
 permeabilities of the transparent soils are characteristic of natu-  
 ral clays and silts. [Lo et al. \(2010\)](#) proposed a new water-based  
 transparent material called “Aquabeads” for modeling flow in  
 natural soils. Three types of this material were used to model  
 miscible and multiphase flow-transport processes in layered soil  
 systems. An optical system was set up to trace flow movements  
 in a two-dimensional (2D) physical model of a soil profile, and

128 analyzed using digital image processing to define images of 2D  
 129 concentration profiles in the model. Model surfactant flushing  
 130 tests were conducted using a layered soil system and two con-  
 131 taminants, mineral oil and motor oil, to illustrate the feasibility  
 132 of using this water-based polymer to visualize geoenvironmen-  
 133 tal contamination problems. Because a transparent soil was  
 134 used, the optical systems allow for visualizing surfactant flush-  
 135 ing. The study demonstrates that Aquabeads are suitable for  
 136 modeling multiphase flow, particularly in educational settings.  
 137 Kamalzare et al. (2013a, 2013b), Kamalzare et al. (2012a,  
 138 2012b), Holmes et al. (2011), Stuetzle et al. (2011), Yu et al.  
 139 (2009), and Xiao et al. (2009) also apply different numerical  
 140 techniques to study and simulate various aspects of the erosion  
 141 phenomena.

142 Raschke and Hryciw (1997) present a semi-automated tech-  
 143 nique for obtaining the grain-size distribution (GSD) of granu-  
 144 lar soils using computer vision. Backlighting digital images of a  
 145 soil specimen dispersed over a glass specimen plate are acquired  
 146 at three different magnifications. Images of the specimen were  
 147 acquired by placing the specimen plate randomly beneath the  
 148 field of view of a charged coupled device (CCD) video camera.  
 149 The size of particles with projected areas from 50 to 2000 px<sup>2</sup>  
 150 was measured in each image. Multiple images were acquired  
 151 at each magnification until the measured size distribution of  
 152 particles counted at that magnification stabilized. Probabilistic  
 153 corrections were then used to obtain a statistically unbiased  
 154 GSD from the image data obtained at all three magnifications.  
 155 A comparison of GSD data for two uniform and two non-  
 156 uniform soils using both computer vision and sieving was also  
 157 presented.

158 Although much work has been done to simulate erosion in  
 159 the field of computer graphics, there has been limited valida-  
 160 tion. This is mostly because of limitations of current laboratory  
 161 measurement methods. A primary objective of this research was  
 162 to find a methodology to validate computer simulations by labo-  
 163 ratory experimentation. Therefore, in this research, laboratory  
 164 tests using model levees have been performed to improve the  
 165 computer simulations of levee and embankment erosion. To  
 166 evaluate the effects of water flow on real levees, some centrifuge  
 167 tests were also performed simulating full-scale prototype levees  
 168 and embankments. A new visualization methodology has been  
 169 introduced that not only provides 3D images of erosion chan-  
 170 nels but also the capability to validate the quantity of the ero-  
 171 sion and the evolution of erosion channels as a function of time.

## 172 Conventional Visualization Methods

173 Techniques for the measurement of deformations and soil  
 174 transport in geotechnical models have developed significantly in  
 175 recent years. Early studies by Butterfield et al. (1970) and  
 176 Andrawes and Butterfield (1973) reported the use of stereo pho-  
 177 togrammetry, in which individual particle movements as seen

in stereo pair photographs, were measured manually. The 178  
 recent introduction of digital technology has removed the need 179  
 for painstaking manual film measurements. The technique of 180  
 PIV (also known as digital image correlation) has been widely 181  
 applied across many branches of engineering (Raffel et al. 2007; 182  
 Pan et al. 2009; Sutton et al. 2009). The use of PIV and photo- 183  
 grammetry to measure soil displacement in small-scale physical 184  
 models has led to a significant increase in measurement accu- 185  
 racy and precision relative to previously utilized techniques 186  
 (White et al. 2003). Furthermore, the number of measurement 187  
 points available in the analysis process has become a function of 188  
 discretization (patch size) rather than the number of identifiable 189  
 features (e.g., target markers) on an exposed plane of the model 190  
 (Stanier and White 2013). 191

## 192 THREE-DIMENSIONAL (3D) LASER RANGE 193 SCANNER (LIDAR)

194 The other conventional and widely used visualization method  
 195 for both outdoor large-scale and indoor small-scale experiments  
 196 is laser scanning. Three-dimensional object scanning allows  
 197 enhancement of the design process, speeds up and reduces  
 198 data-collection errors, saves time and money, and thus makes  
 199 an attractive alternative to traditional data-collection techni-  
 200 ques. 3D scanning is also used for mobile mapping, surveying,  
 201 scanning of buildings and building interiors, and in archaeol-  
 202 ogy. Lidar is a remote-sensing technology that measures dis-  
 203 tance by illuminating a target with a laser and analyzing the  
 204 reflected light. Lidar was developed in the early 1960s, shortly  
 205 after the invention of the laser, and combined laser's focused  
 206 imaging with radar's ability to calculate distances by measuring  
 207 the time for the signal to return (Goyer and Watson 1963).  
 208 Lidar uses ultraviolet, visible, or near infrared light to image  
 209 objects and can be used with a wide range of targets, including  
 210 non-metallic objects, rocks, rain, chemical compounds, aerosols,  
 211 clouds, and even single molecules.

212 In our research, the initial and final surface geometries of  
 213 the model levee for different overtopping erosion experiments  
 214 were recorded using a 3D laser range scanner (Lidar) and were  
 215 analyzed using the data structure developed in this study. The  
 216 acquired surface data from each test could then be used to  
 217 further visualize the final results of the physical overtopping  
 218 simulations. The laser range scanner rotated through a user-  
 219 specified angle and, using a single laser beam, conducted a scan  
 220 of the surface at each incremental rotation within the range of  
 221 rotation. Each incremental movement was characterized by a  
 222 new pulse of the laser beam that collected data based on features  
 223 in surface elevation or geometry of the object of interest at that  
 224 specific position being scanned. The laser range scanner used in  
 225 this research was a Leica 30 HDS 3000, by Leica Geosystems  
 226 HDS, LLC. The laser range scanner is shown in Fig. 1.

227 To facilitate the recognition of the boundaries of the model  
 228 levee, brightly colored tape was placed on the edges of the top

**FIG. 1** Leica HDS 3000 laser range scanner.

229 of the model box in irregular patterns. The purpose for the  
 230 irregular patterns of the tape was to differentiate the orientation  
 231 of the model box. For each physical simulation, there were four  
 232 total scans associated with each test; two scans, one from each  
 233 side of the model levee were obtained so that a scan of the entire  
 234 surface could be obtained by the scanner. Two initial scans were  
 235 obtained following the completion of construction of the model  
 236 levee, but prior to turning on the flow of water. The first scan  
 237 featured the model box oriented with the landside of the levee  
 238 toward the scanner. Once scanning was completed, the model  
 239 was rotated 180° so that the waterside of the levee was oriented  
 240 toward the scanner and the second scan began. The same scanning  
 241 procedure was done within 5 to 10 min following completion  
 242 of the physical erosion test on the levee model.

243 The presence of the model box walls partially obstructed  
 244 the scan, making a rotation necessary. The two scans of the  
 245 same surface were aligned and overlaid on top of one another  
 246 during the data processing. However, because the Lidar scanner  
 247 is more mobile than the experimental setup, for some of the  
 248 experiments, the scanner was moved around the room to  
 249 acquire data from different angles, while the location of the  
 250 model box was kept fixed during the whole experiment. From  
 251 any one angle, it is impossible to see all of the surface data, and  
 252 it is necessary to perform scans from different angles. Therefore,  
 253 there were six total scans associated with each test in this  
 254 method. Following completion of construction of the model  
 255 levee, but prior to water flow, three initial scans were done. This  
 256 was necessary because the location of the Lidar was changed

after each scan; three scans were required to make a full 3D  
 image of the room. The same scanning procedure was done  
 immediately after completion of the physical erosion test on the  
 levee model.

Layer surface data was collected in the form of a point  
 cloud via a 3D laser range scanner. This 3D point data was then  
 run through a data-preparation script that, for each scan, regis-  
 tered the points. It was then aligned to a regular grid in the XY  
 plane, retaining the height values of the points. The points in a  
 grid space used averaged heights to acquire a single value for  
 use in the data structure. If there were multiple soil layers in a  
 single model, this procedure was repeated for each layer (as  
 they were being assembled), generating a layered data structure.  
 The end result was a grid in which each cell contains an array of  
 soil layers with heights and depths. The whole room was  
 scanned from each angle. The model levee was then cropped  
 out of the picture in the next step to find a high-resolution  
 image showing the surface of the levee.

**Fig. 2** shows a schematic drawing of elevation and plan  
 view of a typical experimental setup. Different drainage systems  
 were used based on the water flow and the type of the soil  
 (Kamalzare et al. 2013a). The grain size distribution of the soil  
 was determined according to ASTM D6913-04 (2009). Several  
 tests were performed and the average of the results is presented  
 in **Fig. 3**. The soil is classified as “SC” according to the Unified  
 Soil Classification System (USCS). Other characteristics of the  
 soil are shown in **Table 1**.

From each of the cardinal directions surrounding the  
 experiment, a fine resolution scan was taken to obtain as much  
 surface detail as possible. This scan usually had 1 mm resolu-  
 tion. From at least two perspectives, a coarse resolution scan  
 (10 mm) was taken of the entire room. The coarse resolution  
 scans were used to add key points necessary to transform the  
 data into the same coordinate system. From the fine resolution  
 scans, the surface points were cropped out. They were then  
 axis-aligned and converted into a data format recognizable by  
 the erosion simulation. The collected scan data, which was  
 processed digitally, yielded the visualized representation of the  
 data shown in **Fig. 4**.

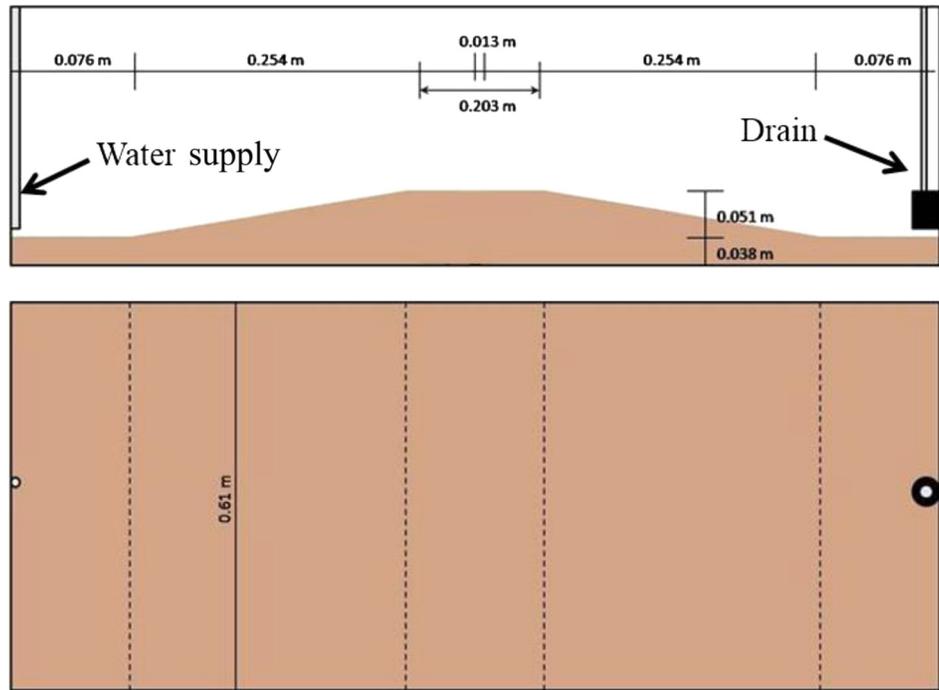
The variation of color in the visualization represents differ-  
 ent elevations of the model levee. Eroded areas are readily seen  
 by the integration of one color in another (i.e., the yellow in the  
 orange colored area).

### COMPUTER SIMULATION

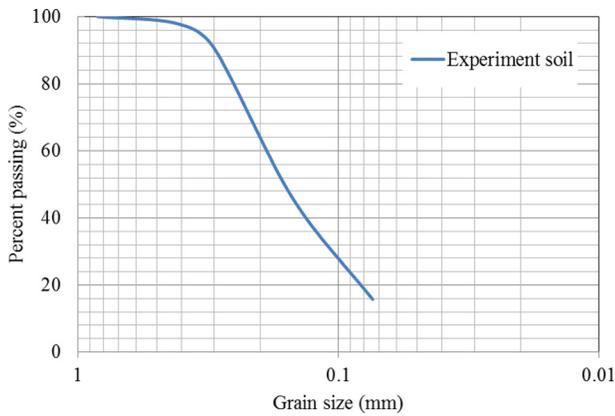
During the course of this research, a computer simulation was  
 also developed to model hydraulic soil erosion (Stuetzle 2012;  
 Kamalzare 2013). To model the levee system, the high-  
 resolution particle-based Lagrangian method based on  
 smoothed particle hydrodynamics (SPHs) was used. This  
 method was first presented by Gingold and Monaghan (1977),  
 and is based on the Navier–Stokes equations and discretized

**FIG. 2**

Schematic drawing of elevation and plan view of a typical experimental setup.



**FIG. 3** Grain size distribution of the soil.

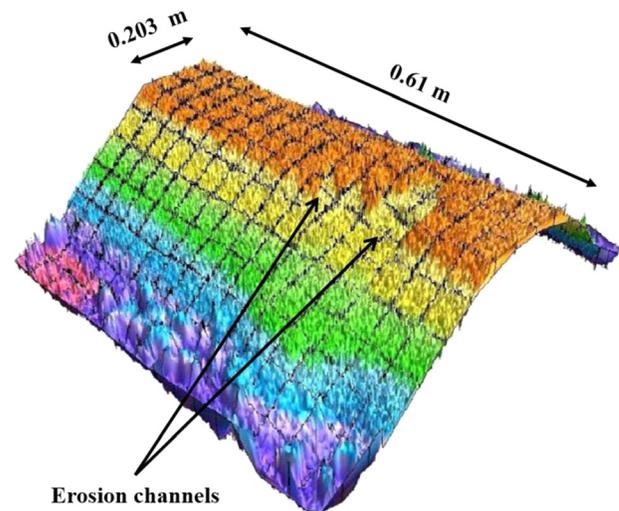


**TABLE 1** Soil characteristics.

Property	Numerical Value
$D_{10}$ (mm)	0.074
$D_{30}$ (mm)	0.11
$D_{60}$ (mm)	0.19
Coefficient of uniformity	2.57
Coefficient of curvature	0.86
Liquid limit	17
Plastic limit	11

into a set of particles. The solution is based on momentum and mass conservation equations. To model the soil, a set of statically placed erodible particles was used. Three types of particles were introduced in the simulation: soil particles, boundary particles (soil particles near a water particle), and water particles. A large number of laboratory experiments were performed in both 1g and higher g level (centrifuge) conditions to evaluate the appropriate coefficients that were critical to define the relationships between different particles in the computer model. For each of the simulations, approximately 450,000 water and

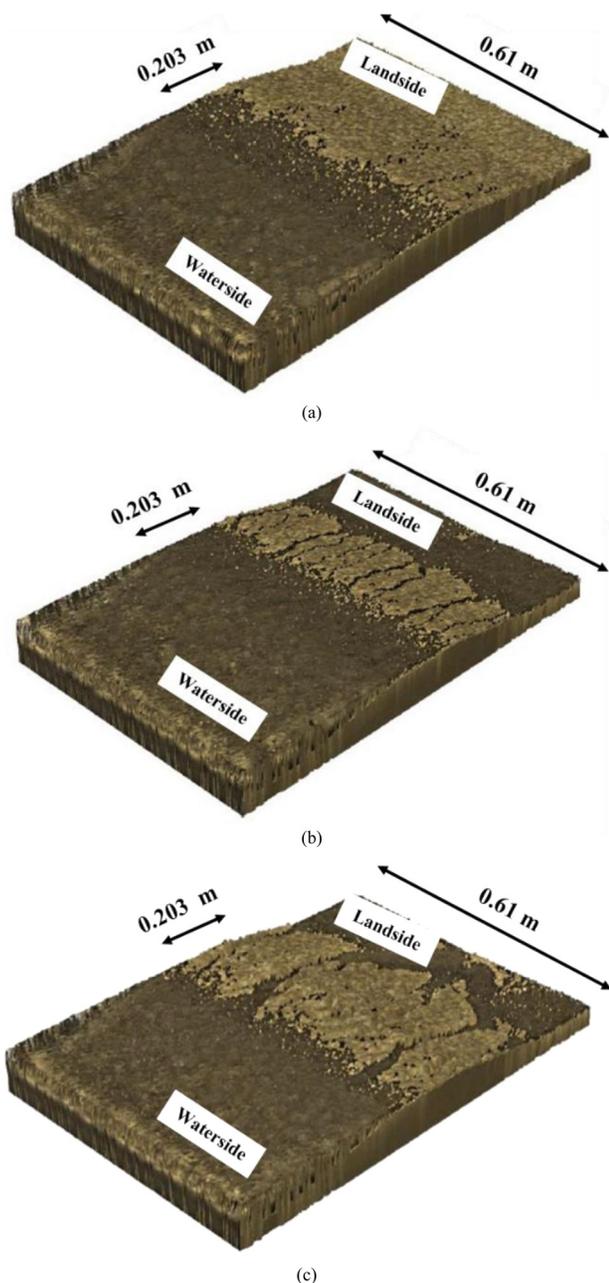
**FIG. 4** Visualization of an overtopping erosion experiment.



318 2,500,000 soil particles were introduced. The results of com-  
 319 puter simulations for one of the experiments are shown in Fig. 5  
 320 as an example.

321 To validate the computer simulations, a comparison was  
 322 performed between the digital simulations at different stages of  
 323 overtopping, and the actual physical experiments performed in  
 324 the laboratory. The results of Lidar 3D visualizations were used  
 325 for the comparison, and a good match was observed between  
 326 the overall qualities of the erosion channels. However, to deter-  
 327 mine the accuracy of the computer model, it was necessary to  
 328 verify the amount of soil eroded in each digital simulation. The

**FIG. 5** Digital simulations for different stages of overtopping (a) before overtopping, (b) during overtopping, and (c) full breach.



amount of eroded soil can be calculated as a function of time in  
 the computer model, but it is a challenge to measure the rate of  
 eroding soil in the physical erosion experiments. At the same  
 time, the Lidar scanning is a time-consuming procedure. Depend-  
 ing on the size of the model, each scanning would take about  
 10 min, which makes it impossible to record quick incidents.  
 In the case of erosion experiments, it was not feasible to scan  
 and record the surface of the levee during the test, and only  
 the initial and final surfaces were recorded. Therefore, the pro-  
 gression of erosion could not be measured. Also, the Lidar  
 cannot be used in centrifuge experiments. One of the main  
 objectives of this research was to find a method to measure the  
 volume of eroded soil and the shape of the formed rills with  
 respect to the time of overtopping. In the next section, a new  
 visualization methodology is introduced, which enables the  
 measurement of the quantity of erosion as a function of time.  
 This method was also utilized in the centrifuge experiments. To  
 the best of our knowledge, this is the first time that this visu-  
 alization methodology has been applied to the study of erosion.

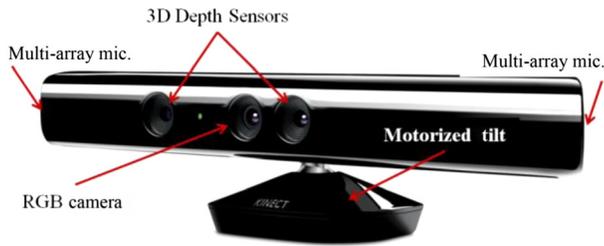
## A Visualization Method to Measure Erosion Quantity and Evolution

Because of the limitation of current experimental methods, it is  
 quite difficult if not impossible to measure the exact amount of  
 transported soil and the erosion evolution during hydraulic ero-  
 sion experiments. However, the process of channel formation  
 during an overtopping experiment was recorded with a Kinect  
 sensor. Unlike a regular camera or even a high-speed camera,  
 the Kinect sensor records additional information regarding eleva-  
 tions and depths of different parts of the channels. The Kinect  
 sensor is part of a Microsoft gaming system, and because of the  
 economics of mass production, can be typically purchased for  
 about \$100.00. The technical capabilities of the Kinect system  
 can be duplicated in a custom design, but the cost will be several  
 thousand dollars. A brief introduction about the Kinect sensor  
 (Xbox 360, by Microsoft) is presented below, followed by details  
 of the methodology. This paper and associated research is nei-  
 ther an endorsement nor promotion for the Kinect system.

### KINECT SENSOR

The Kinect sensor is a horizontal bar connected to a small,  
 motorized base and is designed to be positioned lengthwise  
 above or below the video display. The device has an RGB cam-  
 era that delivers the three basic color components (red, green,  
 and blue) on three different wires. It also has a depth sensor  
 and two multi-array microphones. It provides full-body 3D  
 motion capture, facial recognition, and voice-recognition ca-  
 pabilities (Fig. 6). The depth sensor consists of an infrared laser  
 projector combined with a monochrome complementary metal-  
 oxide semiconductor (CMOS) sensor, which captures video  
 data in 3D under any light conditions. The sensing range of the

**FIG. 6** Kinect sensor.



378 depth sensor is adjustable, and the Kinect software is capable of  
 379 automatically calibrating the sensor based on the object and its  
 380 physical environment, accommodating the presence of any  
 381 obstacles.

382 Reverse engineering has determined that the Kinect is able  
 383 to record video at a frame rate of almost 9 Hz to 30 Hz, depend-  
 384 ing on resolution. The default video stream uses 8-bit video  
 385 graphics array (VGA) resolution (640 × 480 pixels), but the  
 386 hardware is capable of resolutions up to 1280 × 1024 (at a lower  
 387 frame rate) and different color formats. The monochrome  
 388 depth-sensing video stream is in VGA resolution (640 × 480  
 389 pixels) with 11-bit depth, which provides 2048 levels of sensitiv-  
 390 ity. The Kinect can also stream the view from its infrared (IR)  
 391 camera directly, before it has been converted into a depth map,  
 392 as 640 × 480 video or 1280 × 1024 at a lower frame rate. The  
 393 Kinect sensor has a practical ranging limit of 1.2–3.5 m distance;  
 394 however, the sensor can maintain tracking through an extended  
 395 range of approximately 0.7–6 m. The sensor has an angular field  
 396 of view of 57° horizontally and 43° vertically, while the motor-  
 397 ized pivot is capable of tilting the sensor up to 27° either up or  
 398 down. The horizontal field of the Kinect sensor at the minimum  
 399 viewing distance of almost 0.8 m is, therefore, 87 cm, and the  
 400 vertical field is 63 cm, resulting in a resolution of over 1.3 mm  
 401 per pixel. **Table 2** summarizes the technical properties of the  
 402 Kinect sensor.

**403 APPLICATION OF THE KINECT SENSOR IN**  
**404 OVERTOPPING EXPERIMENTS**

405 Considering the dimensions of the modeled levees and the  
 406 Kinect viewing angle, a minimum distance of 1.3 m was  
 407 required to visualize the whole model. This distance was meas-  
 408 ured from the highest point of the modeled levee to the Kinect,  
 409 and is consistent with the practical ranging limit of 1.2–3.5 m  
 410 for the depth sensor. In 1g experiments, the Kinect was con-  
 411 nected to a vertical metal bar, and secured to a table placed in  
 412 the middle of the room. An important consideration for setting  
 413 up the Kinect prior to experiments is to place the sensor on a  
 414 stable surface in a location where it will not fall or be struck  
 415 during use. It should also be accurately leveled, otherwise there  
 416 will be errors in the recorded depth, and a complicated and  
 417 time-consuming process is needed to cancel this error.

**TABLE 2** Technical details of the Kinect sensor.

Property	Description
Sensor	Color and depth-sensing lenses Voice microphone array Tilt motor for sensor adjustment
Field of view	Horizontal field of view: 57° Vertical field of view: 43° Physical tilt range: 27° Depth sensor range: 1.2 m–3.5 m
Data streams	320 × 240 16-bit depth at 30 frames/s 640 × 480 32-bit color at 30 frames/s 16-kHz, 24-bit mono pulse code
Skeletal tracking system	Tracks up to six people, including two active players Tracks 20 joints per active player

418 After placing the Kinect at the desired distance above the  
 419 model and leveling, its position was fixed. The Kinect was con-  
 420 nected to a laptop with a USB port, which controlled the Kinect,  
 421 and saved all measured data acquired during the experiment.  
 422 To minimize noise, it is important to not place the Kinect on or  
 423 in front of any surface that vibrates or makes noise (e.g., speak-  
 424 ers). It should also be kept out of direct sunlight. It should be  
 425 used within its specified operating temperature range of 5°C to  
 426 35°C.

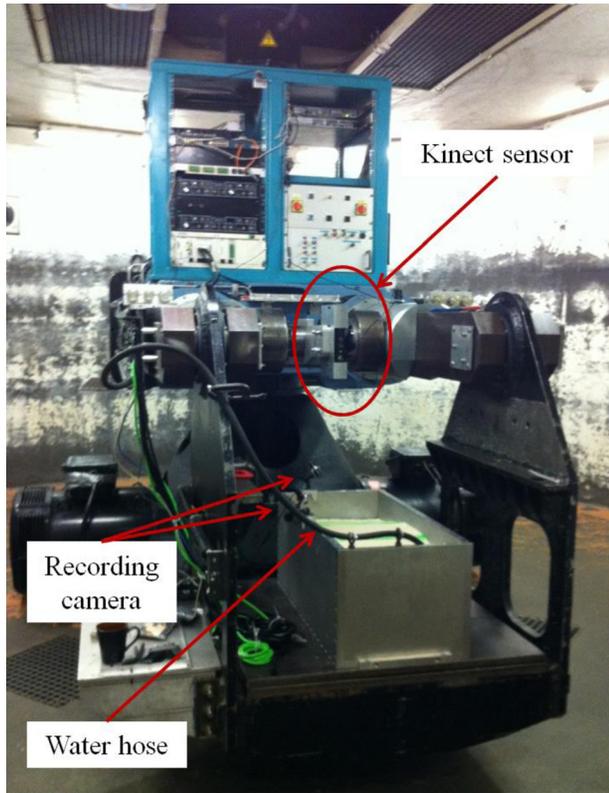
427 The lighting of the room that the experiment is being per-  
 428 formed in should be considered. The room should have suffi-  
 429 cient light so that the model is clearly visible and evenly lit.  
 430 Some lighting conditions make it difficult for Kinect to identify  
 431 the objects and track their movements. In general, incandescent,  
 432 fluorescent, and natural lighting work well.

433 The depth sensor reads depth information from reflected  
 434 light. Objects that are highly reflective, such as shiny metals or  
 435 highly absorptive materials, may not be registered by the depth  
 436 sensor as successfully as other objects. During experiments  
 437 performed herein and after adding water to the system, it was  
 438 important to avoid light reflections.

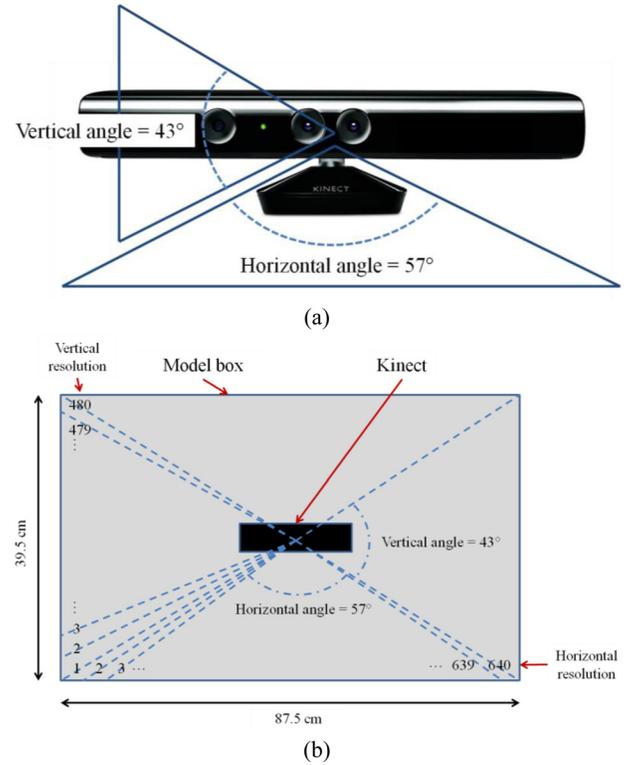
439 The Kinect was also used in centrifuge experiments to  
 440 investigate soil erosion. In centrifuge experiments, forces  
 441 increase with increased *g* levels, and materials can be subjected  
 442 to large forces compared to 1g tests. Some of the challenges  
 443 were to ensure that the Kinect would function at high *g* loads  
 444 and not move during the centrifuge tests. A frame and an  
 445 extended arm were constructed to secure the Kinect during the  
 446 centrifuge experiments. The arm attached the Kinect frame to  
 447 the centrifuge beam. The Kinect was placed as close as possible  
 448 to the center of the centrifuge to minimize the centrifugal loads.

449 **Fig. 7** shows the Kinect secured with the frame, and placed on  
 450 the centrifuge prior to an experiment. In a centrifuge exper-  
 451 iment, the centrifuge basket rotates and becomes perpendicular  
 452 to the Kinect. The distance between the highest point of the

**FIG. 7** Kinect sensor setup for the centrifuge experiments.



**FIG. 8** Illustration of the Kinect resolution and its position.



and vertical angles. **Fig. 8(a)** and **8(b)** illustrate the Kinect angles of view and its resolution and position for the laboratory experiments.

Given the length of the modeled levee, the length of each cell in the main grid was calculated by dividing the length of the levee by the horizontal resolution of the Kinect. Similarly, the width of each cell was calculated as in the following:

$$\begin{aligned} \text{Length of each cell in the grid} &= \frac{\text{Length of the levee}}{\text{Horizontal resolution}} \\ &= \frac{87.5 \text{ cm}}{640} = 0.1367 \text{ cm} \end{aligned} \quad (1)$$

$$\begin{aligned} \text{Width of each cell in the grid} &= \frac{\text{Width of the levee}}{\text{Vertical resolution}} = \frac{39.5 \text{ cm}}{480} \\ &= 0.0823 \text{ cm} \end{aligned} \quad (2)$$

Therefore, the Kinect sees the surface of the levee as a grid with 307,200 cells, with dimensions calculated above.

Different types of data can be obtained from Kinect sensor scanning. Depth data was of most interest for this research. The resulting data was a large set of numbers, which are actually measured distances between the Kinect and the modeled levee surface for each of the cells. For the erosion experiments, the Kinect was set to record ten frames per second. For a typical experiment, 5000 to 8000 frames were recorded. Each frame contained depth data for 307,200 cells. Because items such as light reflection or a sudden water movement can add noise to

453 model levee and the Kinect was equal to 1.25 m, which is in the  
454 suggested range for Kinect.

455 Prior to an experiment, the Kinect sensor was calibrated to  
456 avoid any unpredicted noise or error. After fixing the position  
457 of both the Kinect and the model box for each experiment, a  
458 known object was placed on the box. The distance between the  
459 Kinect and the known object was then scanned and calculated  
460 with the Kinect. The same distance was also measured with a  
461 ruler. These two measurements were then compared after the  
462 experiment, and any probable differences could be modified in  
463 the recorded results.

**464 DATA PROCESSING**

465 As mentioned previously, the Kinect has a horizontal and verti-  
466 cal angle of view equal to 57° and 43°, respectively. It also has a  
467 resolution equal to 640 × 480; when the Kinect is placed above  
468 the modeled levee, it divides the length of the levee into 640  
469 sections. Similarly, the width of the levee is divided into 480 sec-  
470 tions. This results in a grid with a dimension of 640 × 480.  
471 Dividing the horizontal view angle, 57°, by the horizontal reso-  
472 lution, 640, yields a horizontal angle equal to 0.0892° for each  
473 of the cells in the main grid. Similarly, dividing the vertical view  
474 angle, 43°, by the vertical resolution, 480, yields a vertical angle  
475 equal to 0.0897° for each of the cells in the main grid. Kinect  
476 sees each cell like a pyramid with almost the same horizontal

495 the recorded data, the data was averaged over every 10 frames.  
 496 This minimized recorded noise. Open-source software was used  
 497 to open and analyze the recorded files.

498 Because the position of the Kinect and the model levee  
 499 were fixed during the experiments, the positions of the cells  
 500 were constant. Considering the size of the particles of the soil  
 501 used in this research, and the relatively small dimensions of the  
 502 cells, it can be confidently assumed that the difference between  
 503 the recorded depths for a cell in two different frames would be  
 504 equal to the amount of eroded soil in each cell, in the time  
 505 period between the frames. Thus, the amount of the eroded soil  
 506 at any specific time during the overtopping experiment can be  
 507 calculated by subtracting the values of the recorded frame at a  
 508 given time from the first recorded frame at the beginning of the  
 509 experiment. The variation of the amount of eroded soil can be  
 510 calculated at different times, i.e., the volume of eroded soil  
 511 versus time (erosion rate).

512 To calculate the amount of eroded soil, the volume of the  
 513 pyramid, which is between the Kinect and four neighboring  
 514 cells, was calculated. The amount of eroded soil in the time  
 515 period between two specific frames would then be equal to the  
 516 difference between the volumes of the two respective pyramids.  
 517 The volume of the pyramids can be calculated by calculating the  
 518 area of the triangles at each side. The area of the triangles can  
 519 be calculated using Eq 3:

$$\text{Area} = 1/2AB \sin \alpha \tag{3}$$

520 where:

521  $A$  and  $B$  = the depths (distances) of the first and second  
 522 cell, respectively, and

523  $\alpha$  = the cell's angle of the view, which was calculated above  
 524 (for both vertical and horizontal views is equal to  $0.0892^\circ$ ).

525 **Fig. 9** illustrates the details of the calculations in 2D.

526 Considering the size of the modeled levee, the resolution of  
 527 the Kinect is relatively high, and the dimensions of the cells are  
 528 relatively small. Also the frequency of frame recording is

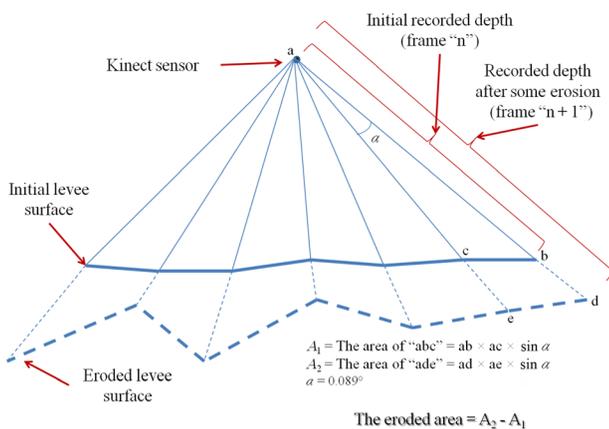
relatively high. As noted, the Kinect recorded 10 frames per sec- 529  
 ond (it can be increased to 30 frames per second). Therefore, 530  
 when calculating the amount of eroded soil in each cell, instead 531  
 of finding the difference between two pyramids, the difference 532  
 between two cubes can be calculated. It was reasonable to 533  
 assume that the recorded depths represented an average of the 534  
 depth of the total cell area. Thus, each of the recorded depths 535  
 represents the average depth of a rectangular area on the levee's 536  
 surface with dimensions equal to  $L = 1.3$  mm, and  $W = 0.8$  mm. 537  
 Multiplying the area of each cell ( $0.01125 \text{ cm}^2$ ) by the recorded 538  
 depth of that cell, and finding the difference between these val- 539  
 ues for a specific cell in two different frames, yields the volume 540  
 of the eroded soil in that specific cell in the time period between 541  
 those two frames. In the following sections, the accuracy of the 542  
 results and the validity of the above assumptions are discussed. 543

## Results and Verification 544

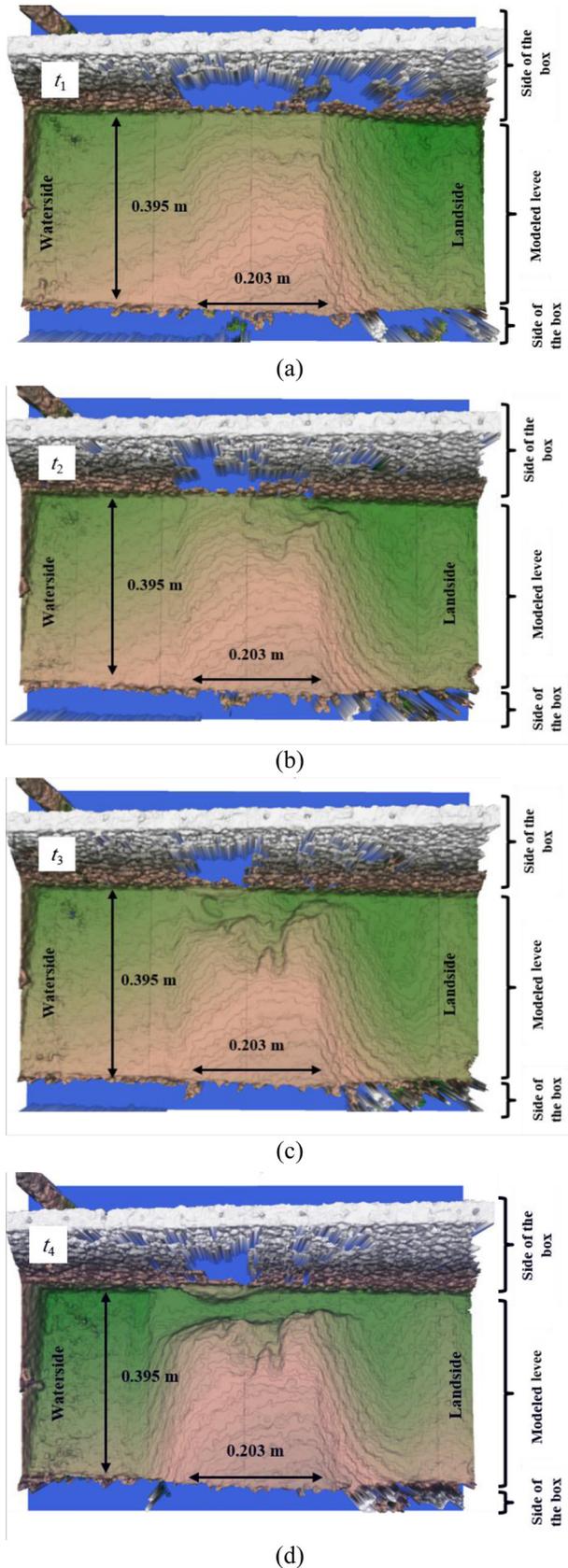
The process of channel formation during an overtopping 545  
 experiment was recorded with the Kinect. Unlike a regular cam- 546  
 era or even a high-speed camera, the Kinect records additional 547  
 information regarding elevations and depths of different parts 548  
 of the channels. Differing depths can be presented using differ- 549  
 ent colors, enabling one to follow the channel-formation pro- 550  
 cess and investigate the erosion process. **Fig. 10(a)–10(d)** shows 551  
 the results of the Kinect visualization at four different times 552  
 during one of the overtopping experiments as an example. Each 553  
 of the figures has been recorded at a different time ( $t_1, t_2, t_3,$  and 554  
 $t_4$ ) during the experiment. The sides of the experiment box and 555  
 different parts of the levee can be seen. The progression of over- 556  
 topping during the experiment has been clearly recorded and 557  
 shown in these figures. As the measured depth increases, the 558  
 coloration proceeds from brown to green. The water overtopped 559  
 the levee from the waterside, which is on the left, to the land- 560  
 side, which is on the right. Some small channels propagate on 561  
 the crest of the levee, and eventually the primary channel forms 562  
 and the levee breaches. In addition to the colors, there are con- 563  
 tour lines on each figure that show elevations. 564

These results can be useful for obtaining an understanding 565  
 of channel propagation and are used for verifying predicted 566  
 depths of the channels obtained by digital simulations; however, 567  
 measurements of the quantity of erosion are required, that is, 568  
 the volume of eroded soil as a function of time. As mentioned 569  
 previously, the Kinect sensor meshes the surface of the levee to 570  
 a rectangular grid, and records the depth of the each cell at dif- 571  
 ferent time steps. Theoretically, it should be easy to find the dif- 572  
 ferences between recorded values of the frames, and compute 573  
 the amount of eroded soil, but there are several challenges to 574  
 completing this task. Each frame contains information about 575  
 the location and depth of 307,200 cells. For each experiment, 576  
 about 5000 to 8000 frames were recorded, resulting in a large 577  
 amount of data. Obviously, it is necessary to use a computer 578

**FIG. 9** Calculating the amount of erosion between two frames.



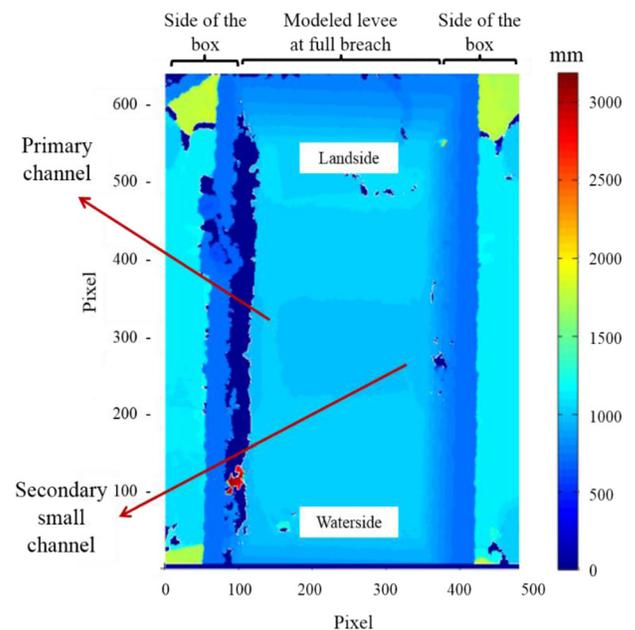
**FIG. 10** Kinect visualization of progression of erosion for a 1g overtopping experiment (plan view)  $t_1 < t_2 < t_3 < t_4$ .



code to handle the data. Another challenge is noise in the data. Some noise can be explained because of movement of the water during the experiment, and consequently reflection. The nature of this type of noise is random, and random noise is challenging because it is not similar to regular noise that can be easily filtered. Analyzed results of measured depths for a centrifuge experiment are presented in Fig. 11 as an example. Images at different times during the test were analyzed and produced, but, in this figure, only the levee after full breach has been shown. No effort has been made to reduce the noise in these images. As mentioned earlier, the Kinect was placed on top of the experiment box to be able to capture the whole modeled levee during the experiment. However, in addition to the plan view of the levee, the sides of the experiment box could also be seen in all of the Kinect videos and images. This would help to distinguish the borders of the modeled levee in early stages of the processing of the recorded images. The sides of the box would be deleted from the images during final stage of the analyses of depth measurement.

To eliminate the noisy data, two new computer codes were generated. One located the noise and filtered it out of the recorded data, and the information in a frame was first copied in a  $640 \times 480$  matrix. The standard deviation of the values in the matrix was then calculated, indicating the amount of variation or dispersion existing from the average (mean) value. In the next step, each of the values in the matrix was compared to the calculated standard deviation, and if the difference was larger than 10 cm, the data was considered noise. The noise was then eliminated from the matrix. The time step between two

**FIG. 11** Analyzed results of Kinect for measuring depth of erosion for a centrifuge experiment after full breach (plan view).



608 successive frames is only 0.1 s. Based on the results and observa-  
 609 tions of previous experiments, it is clear that it is impossible for  
 610 any part of the levee to erode 10 cm in such a short time.

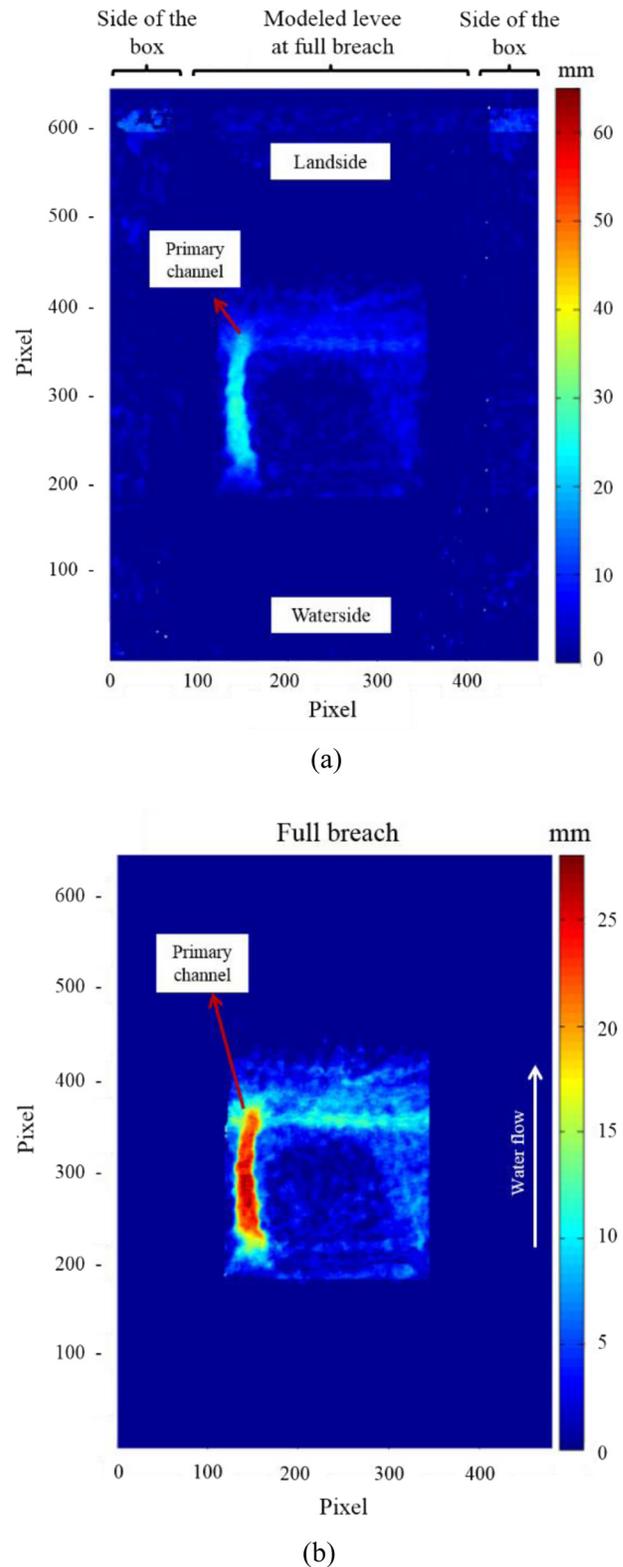
611 The second computer code was intended to calculate the  
 612 amount of erosion. However, even after noise reduction, it was  
 613 required to eliminate some data from the system. These data  
 614 were from different parts of the box, or other external objects  
 615 that were in the Kinect view field. After calculating the differ-  
 616 ence between the two frames, the data was cropped in a manner  
 617 so that only information regarding the primary erosion channel  
 618 remained. All the values in the difference matrix were then  
 619 added and the amount of eroded soil in the main channel was  
 620 calculated. Repeating the above procedure resulted in the values  
 621 of erosion in the primary erosion channel as a function of time.  
 622 Fig. 12(a) and 12(b) shows the results of the measured depths for  
 623 the same centrifuge experiment and at the same time (full  
 624 breach) as shown in Fig. 11 after execution of each of the com-  
 625 puter codes.

626 It is important to mount the Kinect so that only the experi-  
 627 ment model is placed in the Kinect view field, and eliminate  
 628 other objects from the view of the Kinect as much as possible.  
 629 The noise reduction process described above is much more effi-  
 630 cient if the Kinect only sees the model, and no other object.

631 The eroded channels could also be plotted in 3D space.  
 632 Images were plotted viewed from different angles and the intensi-  
 633 ty of the erosion at different parts of the main channel was  
 634 studied. This was helpful for observing and investigating the  
 635 effects of erosion on the shape of the channels. As an example,  
 636 the 3D view of the main channel of the same centrifuge experi-  
 637 ment is shown in Fig. 13. It should be noted that only part of the  
 638 levee has been shown in this figure (the primary channel and  
 639 the areas with major erosion). The areas with no erosion or  
 640 very minor erosion have been cropped out during the noise-  
 641 reduction procedures.

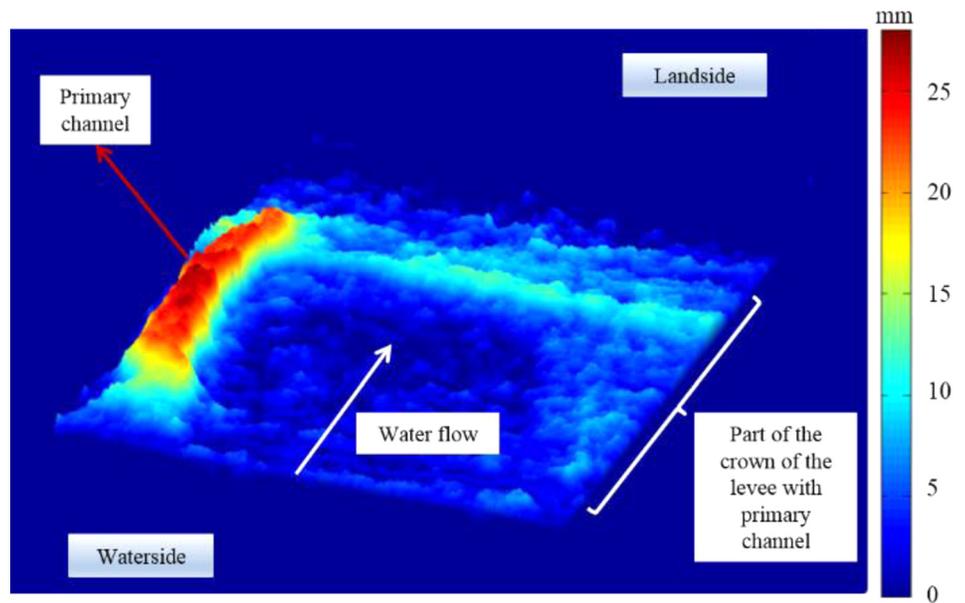
642 The computer codes not only provided different images  
 643 and information about the shape of the channels, but also calcu-  
 644 lated the quantity of erosion. However, because some assump-  
 645 tions were made in the process of analyzing the Kinect data, it  
 646 was necessary to validate the data. For this purpose, the  
 647 recorded video of the high-speed camera was used to provide  
 648 images of the levee breach using 12-s intervals. The primary  
 649 channel was scaled, and its width, length, and depth measured.  
 650 The amount of eroded soil during that interval was calculated  
 651 manually by scaling the video images and measuring the width  
 652 and depth of different erosion sections and channels. These val-  
 653 ues were compared to the results of the Kinect data. Dimensions  
 654 were measured and scaled carefully. However, there are inher-  
 655 ent errors because of limited angle of view of the high-speed  
 656 camera, and the length of the model levee. It is estimated that  
 657 the measured values of depth and length are subject to about  
 658 10 % error. The authors feel the accuracy estimate of 10 % is  
 659 likely a conservative number. Volume measurements on objects

**FIG. 12** Results of analyses on the measured depths for a centrifuge experiment at full breach (plan view): (a) after noise-reduction procedure (first computer code), and (b) after cropping the primary channel (second computer code).



**FIG. 13**

Three-dimensional view of the primary channel in a centrifuge experiment (at full breach).

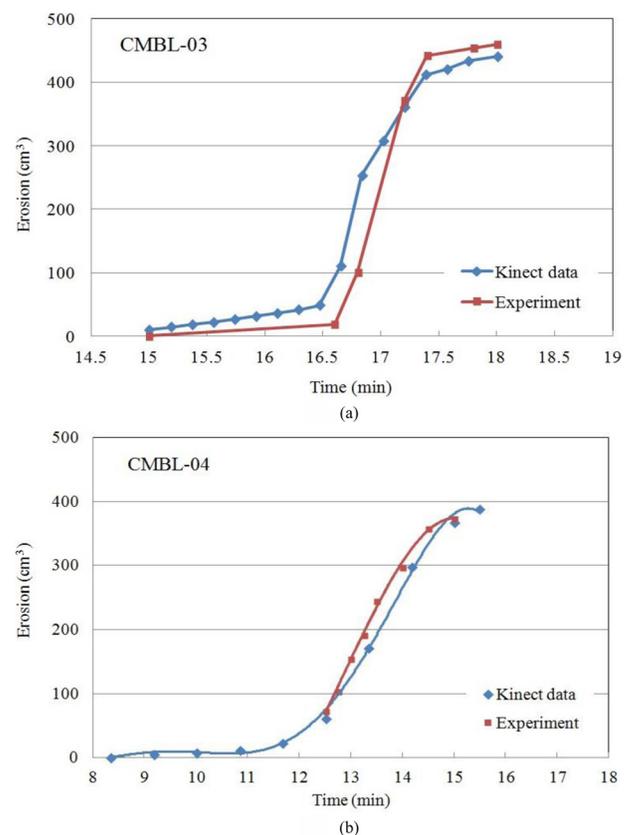


660 with well-defined boundaries, such as wooden blocks, and metal  
 661 cylinders agree within a few % of Kinect determinations. How-  
 662 ever volume measurements on objects with rough boundaries  
 663 like soil are less accurate, but still are accurate within 10 %. As  
 664 an example, the calculated erosion volumes for two different  
 665 centrifuge experiments are plotted in two graphs and shown in  
 666 Fig. 14 in comparison to the values for the same experiment, cal-  
 667 culated from the Kinect data.

668 One can observe three different slopes, which are related to  
 669 different erosion phases of the experiments in both graphs  
 670 shown in Fig. 14. Each blue point on the graph shows the  
 671 amount of erosion based on the analyses of the recorded Kinect  
 672 data. For example, looking at Fig. 14(b), the difference between  
 673 the first recorded frame in the experiment CMBL-04 at time  
 674 equal to 8.5 min, and the frame that was recorded at time equal  
 675 to 13 min would be equal to the amount of the erosion that has  
 676 occurred in that 4.5-min time period. This has been shown with  
 677 a blue point at time equal to 13 min on the graph. It is impor-  
 678 tant to record the first frame prior to adding water to the sys-  
 679 tem. This would create a reference for calculations regarding  
 680 the initial situation of the levee with no erosion. The results are  
 681 quite comparable, indicating the assumptions utilized in analy-  
 682 ses of the Kinect data appear reasonable. It also indicates the  
 683 Kinect data appears to be quite acceptable. It should be noted  
 684 that the speed of surface erosion should theoretically scale with  
 685  $g^2$  because the model is smaller and gravity is larger, both by  
 686 factors of  $g$ .

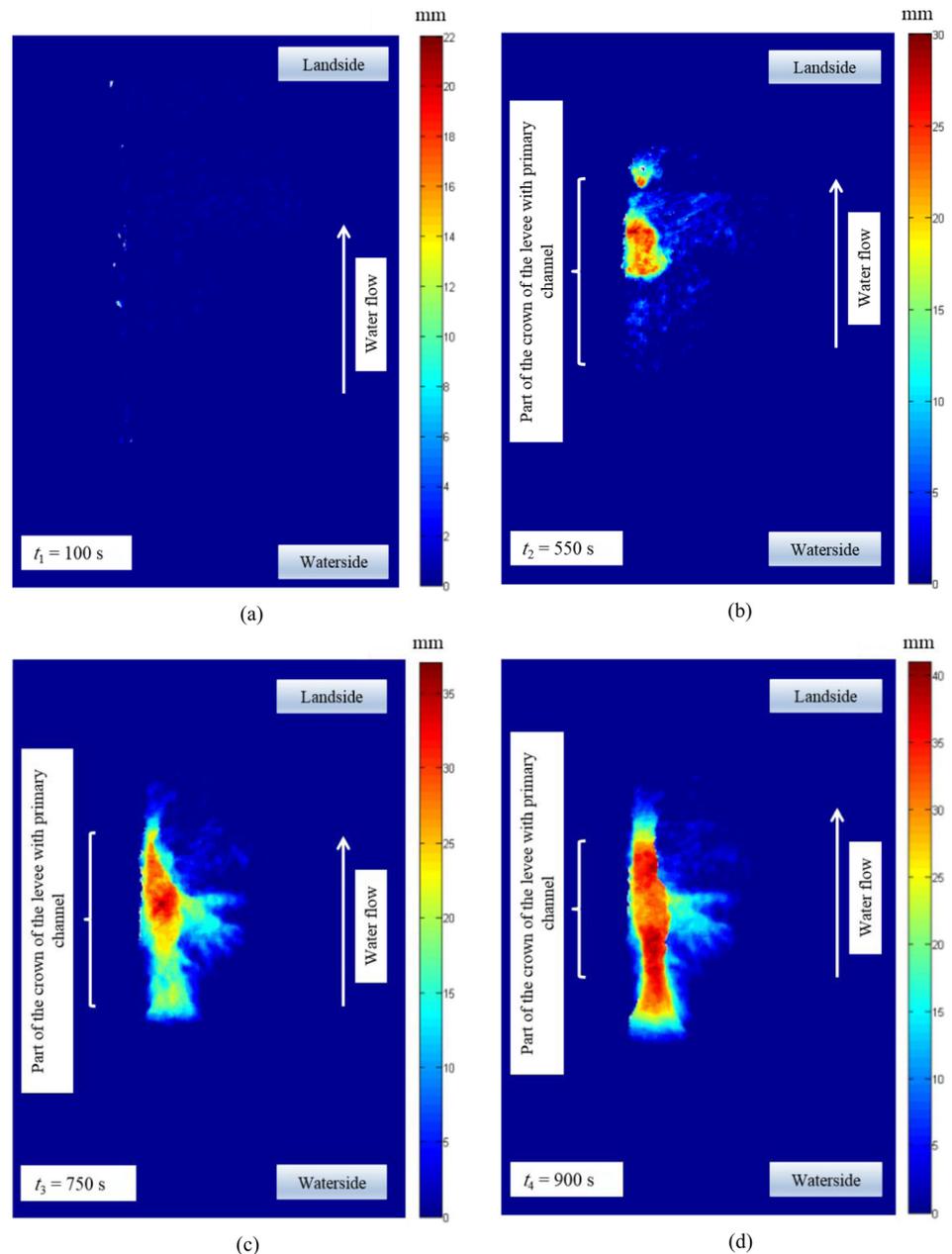
687 The extent to which this actually happens is a topic for  
 688 future study. The research reported in this paper focuses on the  
 689 nature of the erosion, rather than on its speed. There is insuffi-  
 690 cient data to validate the  $g^2$  scaling relation for erosion time.

**FIG. 14** Comparison between the measured values of erosion and the values calculated with the computers codes from the Kinect data (a) centrifuge experiment CMBL-03, and (b) centrifuge experiment CMBL-04.



**FIG. 15**

Formation of the primary channel in experiment CMBL-04 (plan view).



691 As an example, analysis of the results of one of the centri-  
 692 fuge experiments (experiment CMBL-04) that was also per-  
 693 formed on frames recorded prior to formation of the primary  
 694 channel is presented in Fig. 15(a)–15(d). The formation of the  
 695 primary channel can be clearly seen in this figure. These results  
 696 were obtained after reducing the noise from the raw data, and  
 697 cropping the main channel from the image. The volume of the  
 698 channel for each of the images was also calculated. Three-  
 699 dimensional figures could also be obtained from different view-  
 700 ing angles at differing times.

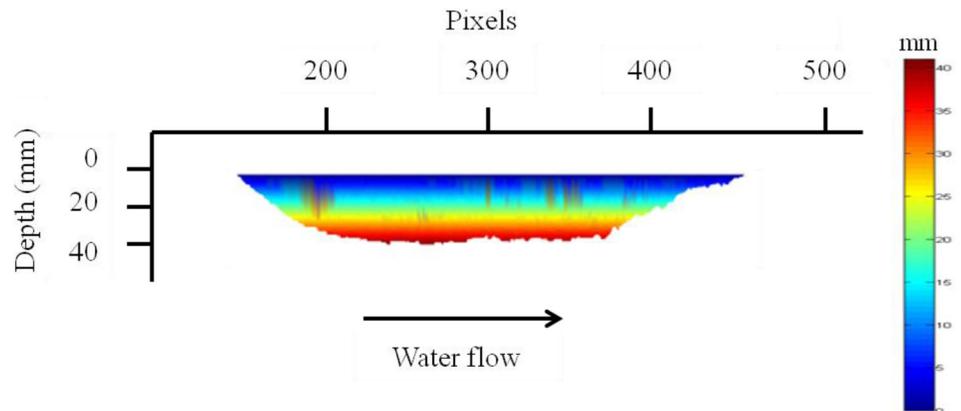
701 To investigate the variation of the depth of the main chan-  
 702 nel along its path, elevation maps were also produced. An

elevation view of the primary channel after full breach for the 703  
 same experiment shown in Fig. 15 (experiment CMBL-04) is 704  
 presented in Fig. 16. This kind of channel mapping can be help- 705  
 ful for validating computer simulations. 706

The erosion data in this study can be obtained by using 707  
 other methods, for example, laser and other more sophisti- 708  
 cated technology, which are generally expensive and time 709  
 consuming. The use of the Kinect device, a low-cost, virtu- 710  
 ally throw-away sensor, is a practical and economical 711  
 method to obtain quite accurate erosion data. Most impor- 712  
 tantly, in this project, it enabled the acquisition of the rate 713  
 of erosion. 714

**FIG. 16**

Elevation view of the primary channel after full breach for experiment CMBL-04.



## 715 Other Applications

716 The use of the Kinect sensor was also investigated in other labo-  
 717 ratory experiments. The surfaces of a series of centrifuge experi-  
 718 ments performed at Rensselaer Polytechnic Institute have been  
 719 scanned with the Kinect. These experiments were performed to  
 720 study the effects of surface explosions on embankments dams,  
 721 levees, and pipelines (De et al. 2013). The centrifuge tests were  
 722 performed using relatively high  $g$ 's (80–100  $g$ 's) modeling large  
 723 prototype structures. The explosions occurred while the centri-  
 724 fuge was spinning. After an explosion, the dimensions and vol-  
 725 ume of the crater were measured. Various methods can be used,  
 726 such as plaster impressions, laser scans, or physical depth meas-  
 727 urements point by point; however, these methods tend to be  
 728 time consuming, and the Kinect sensor was utilized as an  
 729 alternative.

730 Four different experiments were scanned with Kinect in  
 731 addition to other conventional measurement methods. To calcu-  
 732 late the volume of the crater, the surface of each model was  
 733 scanned prior to the experiment, and after completion of the  
 734 experiment. Fig. 17(a) and 17(b) shows the scanned surface of  
 735 one the experiments before and after the explosion, while  
 736 Fig. 17(c) shows the experiment setup and the location of the  
 737 Kinect regarding the model box. The measured difference  
 738 between these two frames would be equal to the amount of dis-  
 739 placed soil, which would be same as the volume of the crater.

740 Because the shape of the crater remained constant with  
 741 time, only a few frames were required to be recorded, and the  
 742 scanning of the models was performed quickly. The computer  
 743 codes used for the erosion data were used to analyze the  
 744 scanned data. Fig. 18(a) shows the results of the analyses of the  
 745 data after noise elimination and cropping of irrelevant scanned  
 746 objects for the model shown in Fig. 17. Three-dimensional views  
 747 of the experiment are also presented in Fig. 18(b). Elevation  
 748 maps were also obtained from different viewing angles for all  
 749 the experiments.

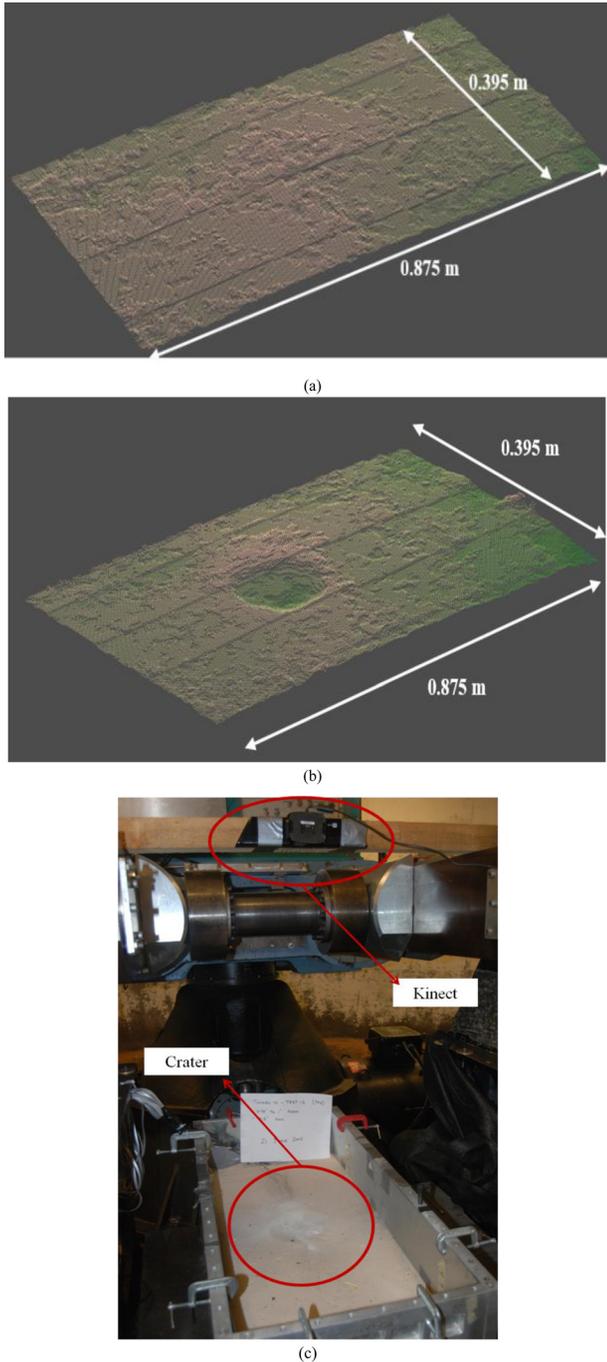
The only relevant region of the model requiring scanning is 750  
 the crater. The borders of the crater were clearly observed in the 751  
 scanned images. Therefore, in the process of noise reduction, 752  
 the coordination of the border of the crater was read manually 753  
 for each experiment from the scanned images, and was set in 754  
 the computer code. The computer code then cropped the image 755  
 and produced 3D images. The craters volumes obtained from 756  
 the Kinect data were also compared to the volumes calculated 757  
 by other methods, and the results agreed well. The volume of 758  
 the blast craters were determined independently by using plas- 759  
 ter casts, metal rods in a grid pattern, and the Kinect device, 760  
 and the values agreed within less than 10 %. 761

## 762 Conclusion

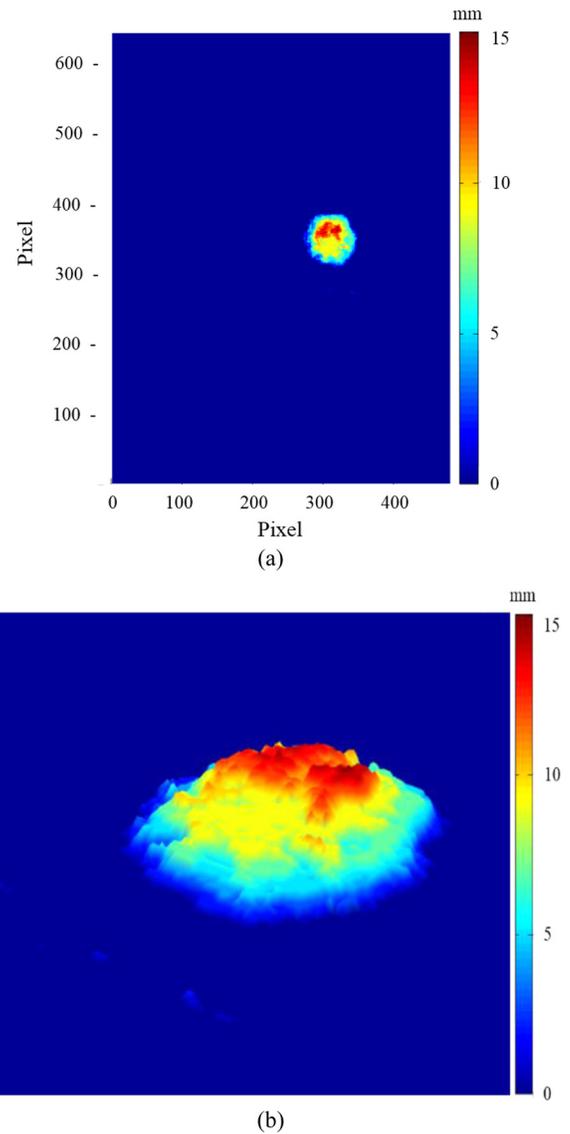
The use of a Kinect sensor, a relatively inexpensive, almost 763  
 throw-away sensor, provided a practical method to determine 764  
 the quantity and rate of erosion with good precision. Informa- 765  
 tion about the variation of channels' shape and volume can be 766  
 acquired at different times. The pattern of the propagation of 767  
 the initial rills along with the information regarding depth and 768  
 volume can also be recorded over time. In typical laboratory 769  
 erosion experiments, initial conditions and final conditions are 770  
 usually determined, but it is difficult to measure sediment vol- 771  
 umes and erosion rates during the conduct of the experiments. 772  
 This can be rapidly and economically accomplished using the 773  
 Kinect device. The following specific conclusions can be drawn 774  
 from the study: 775

- 776 1. The data produced over the course of this research vali- 777  
 dated the behavior predicted by the numerical models. 778  
 Although the process of channel formation during an 779  
 overtopping experiment was recorded with conventional 780  
 methods, the Kinect sensor was used as a new methodol- 781  
 ogy to measure and evaluate soil transportation in the 782  
 erosion experiments. 783

**FIG. 17** Scanning the surface of one the explosion experiments (a) scanned surface before the explosion, (b) scanned surface after the explosion, and (c) the experiment setup.



**FIG. 18** Results of the analyses of the data after noise reduction and cropping irrelevant scanned objects (a) plan view of the crater after explosion experiment, and (b) three-dimensional view of the crater after explosion experiment.



783 2. The new visualization methodology not only makes it fea-  
 786 sible to record the precise duration of different erosion  
 787 phases, but also to measure erosion quantity and calculate  
 788 the volume of eroded soil in an erosion experiment. To  
 789 the authors' knowledge, this is the first time that the  
 790 methodology has been applied to the study of soil  
 791 erosion.

3. Unlike a regular camera or even a high-speed camera, the  
 792 Kinect records additional information regarding elevations  
 793 and depths of different parts of the channels. The varia-  
 794 tions of shape and volume of the eroded channels could be  
 795 measured as a function of time during the experiments,  
 796 and the rate of sediment transport can be calculated.  
 797  
 4. Centrifuge tests were also performed to simulate real  
 798 (prototype) size levees, and the application of this meth-  
 800 odology was also studied in centrifuge testing.  
 801  
 5. The Kinect functioned well in 1g experiments and at high  
 803 g levels in the centrifuge tests. It is believed that this is the  
 804 first use of a Kinect device in centrifuge experiments. The  
 805 application of the Kinect sensor in other laboratory  
 806 experiments is also presented.  
 807

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812 ment at Rensselaer Polytechnic Institute.

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